



EXPRESS MAIL LABEL NO.: EV 016 318 848 US

Form PTO-1449

Sheet 1 of 5

Applicant: Richard S. Ohran

Serial No.: 10/029,189

Att'y Docket No.: 14113.26.1

Filing Date: December 20, 2001

Group:

Confirmation No.: Unassigned

For: INCREMENTALLY STORING A MASS STORAGE DEVICE TO A PRIOR  
STATE

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
<u>OS</u>	A1. 6,073,222	06/06/00	Ohran	711	162	03/16/99
	A2. 5,835,953	11/10/98	Ohran	711	162	11/08/96
	A3. 5,664,186	09/02/97	Bennett, et al.	395	620	05/08/95
	A4. 5,649,152	07/15/97	Ohran, et al.	395	441	10/13/94
	A5. 5,546,534	08/13/96	Malcolm	395	182.04	06/01/95
	A6. 5,515,502	05/07/96	Wood	395	182.13	09/30/93
	A7. 5,455,932	10/03/95	Major, et al.	395	489	10/20/92
	A8. 5,435,004	07/18/95	Cox, et al.	395	575	07/21/94
	A9. 5,241,669	08/31/93	Cohn, et al.	395	575	04/20/92
	A10. 5,241,668	08/31/93	Eastridge, et al.	395	575	04/20/92
	A11. 5,157,663	10/20/92	Major, et al.	371	9.1	09/24/90
<u>✓</u>	A12. 5,089,958	02/18/92	Horton, et al.	395	575	01/23/89

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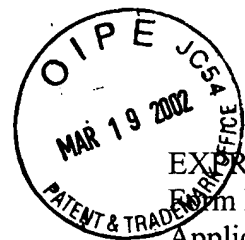
For: INCREMENTALLY STORING A MASS STORAGE DEVICE TO A PRIOR  
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<u>8</u>	A13.	5,038,278	08/06/91	Steely, Jr. et al.	364	200	11/09/90
<u>1</u>	A14.	5,649,196	07/15/97	Woodhill, et al.	395	620	11/09/95
<u>1</u>	A15.	5,819,020	10/06/98	Beeler, Jr.	395	182.03	10/16/95
<u>1</u>	A16.	5,719,889	02/17/98	Iadanza	371	49.3	12/20/95
<u>1</u>	A17.	5,349,655	09/20/94	Mann	395	575	05/12/92
<u>1</u>	A18.	6,085,298	07/04/00	Ohran	711	162	10/01/98
<u>1</u>	A19.	5,410,667	04/25/95	Belsan, et al.	395	425	04/17/92
<u>1</u>	A20.	5,426,747	06/20/95	Weinreb, et al.	395	400	03/22/91
<u>1</u>	A21.	5,379,398	01/03/95	Cohn, et al.	395	425	04/20/92
<u>1</u>	A22.	5,379,412	01/03/95	Eastridge, et al.	395	575	04/20/92
<u>1</u>	A23.	5,263,154	11/16/93	Eastridge, et al.	395	575	04/20/92
<u>1</u>	A24.	5,241,670	08/31/93	Eastridge, et al.	395	575	04/20/92
<u>1</u>	A25.	5,403,639	04/04/95	Belsan, et al.	395	600	09/02/92
<u>1</u>	A26.	5,414,850	05/09/95	Whiting	395	700	08/23/91
<u>1</u>	A27.	5,086,502	02/04/92	Malcolm	395	575	11/13/89

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Sheet 3 of 5

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Serial No.: 10/029,189

Att'y Docket No.: 14113.26.1





Filing Date: December 20, 2001

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For: INCREMENTALLY STORING A MASS STORAGE DEVICE TO A PRIOR  
STATE

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	A28.	4,467,421	08/21/84	White	364	200	06/02/82
	A29.	5,163,148	11/10/92	Walls	395	600	08/11/89
	A30.	5,448,718	09/05/95	Cohn, et al.	395	404	04/20/92
	A31.	5,375,232	12/20/94	Legvold, et al.	395	575	09/23/92

Foreign Patent Documents

<u>Examiner</u> <u>Initial*</u>	<u>Document</u> <u>Number</u>	<u>Publ.</u> <u>Date</u>	<u>Country or</u> <u>Patent Office</u>	<u>Sub</u> <u>Class</u>	<u>Class</u>	<u>Trans-</u> <u>lation</u>
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
NONE

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial\*

 A32. IBM Corp, IBM Technical Disclosure Bulletin, *Storage Management Mechanism for Managing Snapshot Pages*, pp 26-29, September 1992, author unknown.

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Sheet 4 of 5

Applicant: Richard S. Ohran

Serial No.: 10/029,189

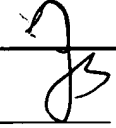
Att'y Docket No.: 14113.26.1

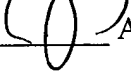
Filing Date: December 20, 2001

Group:

Confirmation No.: Unassigned

For: INCREMENTALLY STORING A MASS STORAGE DEVICE TO A PRIOR  
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 A33. VERITAS Software Corp., *VERITAS File System (VxFS) System Administrator's Guide*, circa February 1992, author unknown.

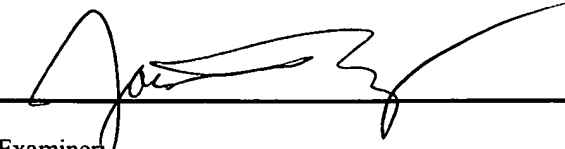
 A34. Sale of VERITAS File System (VxFS) Software, circa 1992, sold by VERITAS Software Corporation.

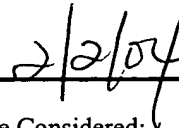
### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

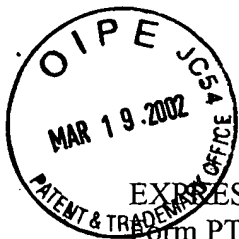
Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

  
Examiner

  
Date Considered:

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Sheet 5 of 5

Applicant: Richard S. Ohran

Serial No.: 10/029,189

Att'y Docket No.: 14113.26.1

Filing Date: December 20, 2001

Group:

Confirmation No.: Unassigned

For: INCREMENTALLY STORING A MASS STORAGE DEVICE TO A PRIOR  
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The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

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<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>				Docket No. 14113.26.1	
Applicant(s): Richard S. Ohran					
Serial No. 10/029,189	Filing Date December 20, 2001	Confirmation No. Unassigned	Examiner Unassigned	Group Art Unit	

Invention: INCREMENTALLY RESTORING A MASS STORAGE DEVICE TO A PRIOR STATE

I hereby certify that the Transmittal letter (2 pages) (in triplicate); Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (5 pgs); Legible Copies of 34 Cited References; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, DC 20231 on March 19<sup>th</sup>, 2002.

Dolly Burnett

\_\_\_\_\_  
(Typed or Printed Name of Person Mailing  
Correspondence)

Dolly Burnett  
(Signature of Person Mailing Correspondence)

**EV 016 318 848 US**

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("Express Mail" Mailing Label Number)



EXPRESS MAIL LABEL NO.: EV 028 947 426 US

Form PTO-1449

Sheet 1 of 3

Applicant: Richard S. Ohran

Serial No.: 10/029,189

Att'y Docket No.: 14113.26.1

Filing Date: December 20, 2001

Group: 2185

Confirmation No.: 5408

For: INCREMENTALLY RESTORING A MASS STORAGE DEVICE TO A  
PRIOR STATE

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
<u>JS</u> A1.	5,175,849	12/29/92	Schneider	395	600	12/20/90
<u>JS</u> A2.	5,845,292	12/01/98	Bohannon, et al.	707	202	12/16/96
<u>JS</u> A3.	6,154,847	11/28/00	Schofield, et al.	714	4	09/01/94
<u>JS</u> A4.	6,141,773	10/31/00	St. Pierre, et al.	714	20	06/30/98
<u>JS</u> A5.	5,696,967	12/09/97	Hayashi, et al.	395	618	03/10/94
<u>JS</u> A6.	5,638,509	06/10/97	Dunphy, et al.	395	182.18	06/13/96
<u>JS</u> A7.	5,201,044	04/06/93	Frey, Jr., et al.	395	575	04/16/90
<u>JS</u> A8.	5,706,470	01/06/98	Okada	395	488	06/26/96
<u>JS</u> A9.	5,638,508	06/10/97	Kanai, et al.	395	182.18	03/09/94
<u>JS</u> A10.	6,295,611	09/25/01	Connor, et al.	714	20	12/14/98

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Sheet 2 of 3

Applicant: Richard S. Ohran

Serial No.: 10/029,189

Att'y Docket No.: 14113.26.1

Filing Date: December 20, 2001

Group: 2185

Confirmation No.: 5408

For: INCREMENTALLY RESTORING A MASS STORAGE DEVICE TO A PRIOR STATE

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Foreign Patent Documents

Examiner <u>Initial*</u>	Document <u>Number</u>	Publ. <u>Date</u>	Country or <u>Patent Office</u>	Sub <u>Class</u>	<u>Class</u>	Trans- <u>lation</u>
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NONE

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial\*

NONE

**References Cited by Applicants**

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Att'y Docket No.: 14113.26.1  
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Applicant(s): Richard S. Ohran

Docket No.  
14113.26.1Serial No. .  
10/029,189Filing Date  
December 20,  
2001Confirmation No.  
5408Examiner  
UnassignedGroup Art Unit  
2185

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Dolly Burnett

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*(Typed or Printed Name of Person Mailing Correspondence)*

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*(Signature of Person Mailing Correspondence)***EV 028 947 426 US**

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*("Express Mail" Mailing Label Number)*